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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/578,095	05/25/2000	James Kenneth Aragones	RD-27,376	6313

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GENERAL ELECTRIC COMPANY
GLOBAL RESEARCH
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EXAMINER

THANGAVELU, KANDASAMY

ART UNIT PAPER NUMBER

2123

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DATE MAILED: 03/04/2004

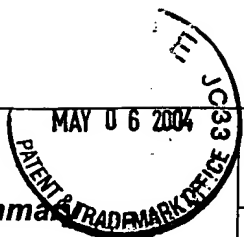
Please find below and/or attached an Office communication concerning this application or proceeding.

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Technology Center 2100

Office Action Summary



Application No. 09/578,095		Applicant(s) ARAGONES ET AL.	
Examiner Kandasamy Thangavelu		Art Unit 2123	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --
Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 123). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

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Status

- 1) ☒ Responsive to communication(s) filed on 18 December 2003.
 2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-6,9-16,20-26,29-36,40-46,49-56,60-66,69-76,80 and 81 is/are pending in the application.
 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
 5) ☐ Claim(s) _____ is/are allowed.
 6) ☒ Claim(s) 1-6,9-16,20-26,29-36,40-46,49-56,60-66,69-76,80 and 81 is/are rejected.
 7) ☐ Claim(s) _____ is/are objected to.
 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
 10) ☒ The drawing(s) filed on 25 May 2000 is/are: a) ☐ accepted or b) ☒ objected to by the Examiner.
 Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
 Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
 a) ☐ All b) ☐ Some * c) ☐ None of:
 1. ☐ Certified copies of the priority documents have been received.
 2. ☐ Certified copies of the priority documents have been received in Application No. _____.
 3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- 1) ☒ Notice of References Cited (PTO-892)
 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
 3) ☒ Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)
 Paper No(s)/Mail Date 5.
 4) ☐ Interview Summary (PTO-413)
 Paper No(s)/Mail Date. _____.
 5) ☐ Notice of Informal Patent Application (PTO-152)
 6) ☐ Other: _____.

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DETAILED ACTION

1. This communication is in response to the Applicants' Response mailed on December 18, 2003. Claims 1, 9, 20, 22, 29, 40, 42, 49, 60, 62, 69 and 80 were amended. Claims 7, 8, 17-19, 27, 28, 37-39, 47-48, 57-59, 67-68 and 77-79 were cancelled. Claims 1-6, 9-16, 20-26, 29-36, 40-46, 49-56, 60-66, 69-76 and 80-81 of the application are pending. This office action is made final.

Response to Arguments

2. Applicants' arguments filed on December 18, 2003 have been fully considered. The arguments with respect to Claims 1, 22, 42 and 62 are discussed beginning in Paragraph 17.1. The applicant's amendments necessitated the new grounds of rejection.

Information Disclosure Statement

3. Acknowledgment is made of the information disclosure statements filed on January 8, 2004 together with copies of the papers and patents. The papers and patents have been considered in reviewing the claims.

Drawings

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4. The drawings were objected to; see a copy of Form PTO-948 sent with paper No. 3 for an explanation. The Figures 2-5, 7 and 8 were objected to because of unacceptable top/left margins. Applicants are requested to send corrected drawings in response to this Office action.

Claim Rejections - 35 USC § 103

5. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains.

6. The factual inquiries set forth in *Graham v. John Deere Co.*, 383 U.S. 1, 148 USPQ 459 (1966), that are applied for establishing a background for determining obviousness under 35 U.S.C. 103(a) are summarized as follows:

1. Determining the scope and contents of the prior art.
2. Ascertaining the differences between the prior art and the claims at issue.
3. Resolving the level of ordinary skill in the pertinent art.
4. Considering objective evidence present in the application indicating obviousness or nonobviousness.

7. Claims 1-3, 5-6, 22, 23, 25-26, 42, 43, 45-46, 62, 63 and 65-66 are rejected under 35 U.S.C. 103(a) as being unpatentable over Kaminskiy et al. (KA) ("A Monte Carlo approach to Warranty Repair predictions", SAE International, 1997) in view of Cribbes (CR) ("Changes in Engine maintenance management", Aerospace Engineering, December 1997), and further in

view of **Endrenyi et al. (EN)** (IEEE, 1997), **Butler (BU)** ("An expert system based Framework for an incipient failure detection and Preventive maintenance system, IEEE 1996), and **Wang (WA)** (U.S. Patent 6,230,095) and **Husseiny (HU)** (U.S. Patent 5,210,704).

7.1 **KA** teaches a Monte Carlo approach to Warranty Repair predictions. Specifically, as per Claim 1, **KA** teaches a system for predicting the timing of a future service event of a product formed from a plurality of compartments (Pg 1, Abstract; Pg 2, CL2, Para 3 & 4).

KA does not expressly teach a database that contains a plurality of service information and a plurality of performance information for the product. **CR** teaches a database that contains a plurality of service information (Pg 9, CL1, Para 2 & 3) and a plurality of performance information for the product (Pg 9, CL1, Para 4), as the performance information indicates specific deterioration and the service information and the performance information will identify the likely maintenance actions required at the next shop visit (Pg 9, CL1, Para 3) so the maintenance shop can develop proper maintenance process (Pg 9, CL1, Para 4). It would have been obvious to one of ordinary skill in the art at the time of Applicants' invention to modify the system of **KA** with the system of **CR** that included a database that contained a plurality of service information and a plurality of performance information for the product, as the performance information would indicate specific deterioration and the service information and the performance information would identify the likely maintenance actions required at the next shop visit so the maintenance shop could develop proper maintenance process.

KA does not expressly teach a statistical analyzer that analyzes the plurality of service information to determine a plurality of compartment failure information. **EN** teaches a statistical

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analyzer that analyzes the plurality of service information to determine a plurality of compartment failure information (Pg 576, CL2, Para 2 & 5; Pg 578, CL1, Para 4 & 5: Fig. 2; Pg 579, CL2, Para 5; Fig. 6), as the compartment failure information can be used to determine means, variances and the probability distributions of times to failures of the compartments (Pg 578, CL1, Para 4 & 5). It would have been obvious to one of ordinary skill in the art at the time of Applicants' invention to modify the system of KA with the system of EN that included a statistical analyzer that analyzes the plurality of service information to determine a plurality of compartment failure information, as the compartment failure information could be used to determine means, variances and the probability distributions of times to failures of the compartments.

KA does not expressly teach that the plurality of compartment failure information comprises compartment failure variables and compartment time-to-failure coefficients. EN teaches that the plurality of compartment failure information comprises compartment failure variables and compartment time-to-failure coefficients (Pg 578, CL2, Para 3 to Pg 579, CL1, Para 3; Fig. 6), as the information can be used to obtain the failure information for the entire system and the cost incurred due to component failures (Pg 581, CL2, Para 2); and a mathematical model can be constructed linking failures and maintenance modes and used to compute costs of alternative maintenance schedules (Pg 581, CL2, Para 3). It would have been obvious to one of ordinary skill in the art at the time of Applicants' invention to modify the system of KA with the system of EN that included that the plurality of compartment failure information comprising compartment failure variables and compartment time-to-failure coefficients, as the information could be used to obtain the failure information for the entire

system and the cost incurred due to component failures and a mathematical model could be constructed linking failures and maintenance modes and used to compute costs of alternative maintenance schedules.

KA does not expressly teach that the statistical analyzer uses the plurality of compartment failure information to determine which compartment failure variables influence the timing of future service events and estimate time-to-failure distributions for the plurality of compartments. **EN** teaches that the statistical analyzer uses the plurality of compartment failure information to determine which compartment failure variables influence the timing of future service events and estimate time-to-failure distributions for the plurality of compartments (Fig3; Pg 578, CL2, Para 3 to Pg 579, CL1, Para 3; Fig. 2; Fig. 6), as the information can be used to obtain the failure information for the entire system and the cost incurred due to component failures (Pg 581, CL2, Para 2); and a mathematical model can be constructed linking failures and maintenance modes and used to compute costs of alternative maintenance schedules (Pg 581, CL2, Para 3). It would have been obvious to one of ordinary skill in the art at the time of Applicants' invention to modify the system of **KA** with the system of **EN** that included the statistical analyzer using the plurality of compartment failure information to determine which compartment failure variables influence the timing of future service events and estimate time-to-failure distributions for the plurality of compartments, as the information could be used to obtain the failure information for the entire system and the cost incurred due to component failures and a mathematical model could be constructed linking failures and maintenance modes and used to compute costs of alternative maintenance schedules.

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KA does not expressly teach a performance deterioration rate analyzer that analyzes performance deterioration rate of the product from the plurality of service information and performance information. **CR** teaches a performance deterioration rate analyzer that analyzes performance deterioration rate of the product from the plurality of service information and performance information (Pg 9, CL1, Para 3 & 4), as the performance deterioration rate information identifies the likely maintenance actions required at the next shop visit (Pg 9, CL1, Para 3), so the maintenance shop could develop proper maintenance process (Page 9, CL1, Para 4). It would have been obvious to one of ordinary skill in the art at the time of Applicants' invention to modify the system of **KA** with the system of **CR** that included a performance deterioration rate analyzer that analyzes performance deterioration rate of the product from the plurality of service information and performance information, as the performance deterioration rate information would identify the likely maintenance actions required at the next shop visit so the maintenance shop could develop proper maintenance process.

KA, **CR** and **EN** do not expressly teach that the performance deterioration rate analyzer comprises a statistical analysis script that relates a subset of compartments of the product according to time. **BU** teaches that the performance deterioration rate analyzer comprises a statistical analysis script that relates a subset of compartments of the product according to time (Pg 321, CL1, Para 5; Pg 321, CL2, Para 2; Pg 322, CL1, Para 3; Pg 321, CL2, Para 4 & 5), as the determination of the related times to failures of the compartments facilitates optimizing preventive maintenance program through system wide approach to predict equipment failures (Pg. 321, CL2, Para 2 & 3). It would have been obvious to one of ordinary skill in the art at the time of Applicants' invention to modify the system of **KA**, **CR** and **EN** with the system of **BU**

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that included the performance deterioration rate analyzer comprising a statistical analysis script that relates a subset of compartments of the product according to time, as the determination of the related times to failures of the compartments would facilitate optimizing preventive maintenance program through system wide approach to predict equipment failures.

KA, CR, EN and BU do not expressly teach that the statistical analysis script generates an estimated deterioration rate curve for the subset of compartments of the product. **WA** teaches that the statistical analysis script generates an estimated deterioration rate curve for the subset of compartments of the product (Abstract; CL2, L22-37), as the deterioration curve can be used to generate a warning signal to the system operator and as a tool for product service recommendations (CL1, Lines 15-18). It would have been obvious to one of ordinary skill in the art at the time of Applicants' invention to modify the system of **KA, CR, EN and BU** with the system of **WA** that included the statistical analysis script generating an estimated deterioration rate curve for the subset of compartments of the product, as the deterioration curve could be used to generate a warning signal to the system operator and as a tool for product service recommendations.

KA, CR, EN and WA do not expressly teach that the performance deterioration rate analyzer further comprises a transformer that transforms each estimated deterioration rate curve for a compartment to a performance life distribution. **HU** teaches that the performance deterioration rate analyzer further comprises a transformer that transforms each estimated deterioration rate curve for a compartment to a performance life distribution (CL1, L47-50; CL2, L7-32; CL4, L61-63), as stochastic models can be used to predict performance degradation (CL4, L61-63; CL4, L43-47) and significant increase in availability, reliability and maintainability can

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be achieved by developing a capacity to continuously predict the remaining acceptable operating life (CL2, L7-11). It would have been obvious to one of ordinary skill in the art at the time of Applicants' invention to modify the system of **KA**, **CR**, **EN** and **WA** with the system of **HU** that included the performance deterioration rate analyzer further comprising a transformer that transformed each estimated deterioration rate curve for a compartment to a performance life distribution, as stochastic models could be used to predict performance and significant increase in availability, reliability and maintainability could be achieved by developing a capacity to continuously predict the remaining acceptable operating life.

KA teaches a simulator for simulating a distribution of future service events of the product according to the time-to-failure distributions (Pg 1, Abstract; Pg 1, CL1, Para 3; Pg 2, CL2, Para 3 & 4; Pg 3, CL2, Para 2; Fig. 5). **KA** does not expressly teach a simulator for simulating a distribution of future service events of the product according to the performance life distributions. **HU** teaches a simulator for simulating a distribution of future service events of the product according to the performance life distributions (CL2, L7-32; CL5, L53-57; CL13, L8-46), as such simulation can be used to alert personnel of the expected duration of the acceptable operating time (CL6, L65-66) and significant increase in availability, reliability and maintainability can be achieved by developing a capacity to continuously predict the remaining acceptable operating life (CL2, L7-11). It would have been obvious to one of ordinary skill in the art at the time of Applicants' invention to modify the system of **KA** with the system of **HU** that included a simulator for simulating a distribution of future service events of the product according to the performance life distributions, as such simulation could be used to alert personnel of the expected duration of the acceptable operating time and significant increase in

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availability, reliability and maintainability could be achieved by developing a capacity to continuously predict the remaining acceptable operating life.

Dependent claims

Per Claim 2: **KA, CR, EN, BU, WA and HU** teach the system of Claim 1. **KA** does not expressly teach that the database comprises a service database and a performance historical database. **CR** teaches that the database comprises a service database and a performance historical database (Pg 9, CL1, Para 2 & 3), as the performance information indicates specific deterioration and the service information and the performance information will identify the likely maintenance actions required at the next shop visit (Pg 9, CL1, Para 3) so the maintenance shop can develop proper maintenance process (Pg 9, CL1, Para 4). It would have been obvious to one of ordinary skill in the art at the time of Applicants' invention to modify the system of **KA** with the system of **CR** that included the database comprising a service database and a performance historical database, as the performance information would indicate specific deterioration and the service information and the performance information would identify the likely maintenance actions required at the next shop visit so the maintenance shop could develop proper maintenance process.

Per Claim 3: **KA, CR, EN, BU, WA and HU** teach the system of Claim 1. **KA** does not expressly teach that the plurality of service information comprises compartment definitions, repair history and service factors. **CR** teaches that the plurality of service information comprises compartment definitions, repair history and service factors (Pg 9, CL1, Para 2 & 3), as the

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service information will identify the likely maintenance actions required at the next shop visit, so suitable preparations can be made before the product arrives for repair and maintenance (Pg 9, CL1, Para 3). It would have been obvious to one of ordinary skill in the art at the time of Applicants' invention to modify the system of **KA** with the system of **CR** that included the plurality of service information comprising compartment definitions, repair history and service factors, as the service information would identify the likely maintenance actions required at the next shop visit, so suitable preparations could be made before the product arrives for repair and maintenance.

Per Claim 5: **KA**, **CR**, **EN**, **BU**, **WA** and **HU** teach the system of Claim 1. **KA** does not expressly teach system further comprising a preprocessor for processing the plurality of service information into a predetermined format. **EN** teaches system further comprising a preprocessor for processing the plurality of service information into a predetermined format (Fig. 3), as the service information can be used to obtain the compartment failure information (Fig. 2) and compartment failure information can be used to determine means, variances and the probability distributions of times to failures of the compartments (Pg 578, CL1, Para 4 & 5). It would have been obvious to one of ordinary skill in the art at the time of Applicants' invention to modify the system of **KA** with the system of **EN** that included system further comprising a preprocessor for processing the plurality of service information into a predetermined format, as the service information could be used to obtain the compartment failure information and the compartment failure information could be used to determine means, variances and the probability distributions of times to failures of the compartments.

Per Claim 6: **KA**, **CR**, **EN**, **BU**, **WA** and **HU** teach the system of Claim 1. **KA** does not expressly teach that the preprocessor generates a plurality of data files according to the plurality of service information. **EN** teaches that the preprocessor generates a plurality of data files according to the plurality of service information (Fig. 2), as the information can be used to predict the mean and distribution of the remaining life to failure for any stage of aging (Pg 576, Abstract). It would have been obvious to one of ordinary skill in the art at the time of Applicants' invention to modify the system of **KA** with the system of **EN** that included the preprocessor generating a plurality of data files according to the plurality of service information, as the information could be used to predict the mean and distribution of the remaining life to failure for any stage of aging.

7.2 As per Claims 22, 23, 25-26, 42, 43, 45-46, 62, 63 and 65-66, these are rejected based on the same reasoning as Claims 1, 3, and 5-6, supra. Claims 22, 23, 25-26, 42, 43, 45-46, 62, 63 and 65-66 are system, method and computer readable medium claims reciting the same limitations as Claims 1, 3, and 5-6, as taught throughout by **KA**, **CR**, **EN**, **BU**, **WA** and **HU**.

8. Claims 4, 21, 24, 41, 44, 61, 64 and 81 are rejected under 35 U.S.C. 103(a) as being unpatentable over **Kaminskiy et al. (KA)** ("A Monte Carlo approach to Warranty Repair predictions", SAE International, 1997) in view of **Cribbes (CR)** ("Changes in Engine maintenance management", Aerospace Engineering, December 1997) and **Endrenyi et al. (EN)** (IEEE, 1997) and further in view of **Butler (BU)** ("An expert system based Framework for an

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incipient failure detection and Preventive maintenance system, IEEE 1996), and Wang (WA) (U.S. Patent 6,230,095) and Hussein (HU) (U.S. Patent 5,210,704) and Aerospace Technology (AT) ("Forecasting engine removals and shop visits", Aircraft Technology Engineering & maintenance, 1996-1997).

8.1 As per Claim 4, KA, CR, EN, BU, WA and HU teach the system of Claim 1. KA, CR, EN, BU, WA and HU do not expressly teach that the plurality of performance information comprises performance characteristic values, initial data levels after servicing, current data levels. AT teaches that the plurality of performance information comprises performance characteristic values, initial data levels after servicing, current data levels (Pg 3, CL1, Para 1 & 3; Pg 4, CL1, Para 2; Pg. 4, CL2, Para 1), as the information can be used to forecast product removal rates to construct a plan for incoming product volumes; and the forecast can be used for maintenance cost planning yielding requirements for material and manpower for maintenance (Pg 3, CL1, Para 2). It would have been obvious to one of ordinary skill in the art at the time of Applicants' invention to modify the system of KA, CR, EN, BU, WA and HU with the system of AT that included the plurality of performance information comprising performance characteristic values, initial data levels after servicing, current data levels, as the information could be used to forecast product removal rates to construct a plan for incoming product volumes; and the forecast could be used for maintenance cost planning yielding requirements for material and manpower for maintenance.

KA, EN, BU, WA, HU and AT do not expressly teach that the plurality of performance information comprises dates at which the product is serviced, and variables that affect the

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servicing of a subset of the plurality of compartments. **CR** teaches that the plurality of performance information comprises dates at which the product is serviced, and variables that affect the servicing of a subset of the plurality of compartments (Pg 9, CL1, Para 3 to 6), as the performance information will identify the likely maintenance actions required at the next shop visit (Pg 9, CL1, Para 3) so the maintenance shop can develop proper maintenance process (Pg 9, CL1, Para 4). It would have been obvious to one of ordinary skill in the art at the time of Applicants' invention to modify the system of **KA**, **EN**, **BU**, **WA**, **HU** and **AT** with the system of **CR** that included the plurality of performance information comprising dates at which the product would be serviced, and variables that affect the servicing of a subset of the plurality of compartments, as the performance information would identify the likely maintenance actions required at the next shop visit so the maintenance shop could develop proper maintenance process.

Per Claim 21: **KA**, **CR**, **EN**, **BU**, **WA** and **HU** teach the system of Claim 1. **KA** does not expressly teach that the simulator forecasts a service plan for the future service events that comprises the time for scheduling the service events. **AT** teaches that the simulator forecasts a service plan for the future service events that comprises the time for scheduling the service events (Pg 3, CL1, Para 2; Pg 4, CL1, Para 2; Pg.4, CL2, Para 1), as the forecast can be used for maintenance cost planning yielding requirements for material and manpower for maintenance (Pg 3, CL1, Para 2). It would have been obvious to one of ordinary skill in the art at the time of Applicants' invention to modify the system of **KA** with the system of **AT** that included that the simulator forecast a service plan for the future service events that comprised the time for

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scheduling the service events, as the forecast could be used for maintenance cost planning yielding requirements for material and manpower for maintenance.

8.2 As per Claims 24, 41, 44, 61, 64 and 81, these are rejected based on the same reasoning as Claims 4 and 21, supra. Claims 24, 41, 44, 61, 64 and 81 are system, method and computer readable medium claims reciting the same limitations as Claims 4 and 21, as taught throughout by **KA, CR, EN, BU, WA, HU** and **AT**.

9. Claims 9, 29, 49 and 69 are rejected under 35 U.S.C. 103(a) as being unpatentable over **Kaminskiy et al. (KA)** ("A Monte Carlo approach to Warranty Repair predictions", SAE International, 1997) in view of **Cribbes (CR)** ("Changes in Engine maintenance management", Aerospace Engineering, December 1997) and **Endrenyi et al. (EN)** (IEEE, 1997) and further in view of **Butler (BU)** ("An expert system based Framework for an incipient failure detection and Preventive maintenance system, IEEE 1996), **Wang (WA)** (U.S. Patent 6,230,095), **Husseiny (HU)** (U.S. Patent 5,210,704) and **Woodman et al. (WO)** (U.S. Patent 6,195,624).

9.1 As per Claim 9, **KA, CR, EN, BU, WA** and **HU** teach the system of Claim 1. **KA, CR, EN, BU, WA** and **HU** do not expressly teach that the statistical analyzer uses the estimated time-to-failure distributions to determine a Weibull distribution for a subset of the plurality of compartments defined for the product. **WO** teaches that the statistical analyzer uses the estimated time-to-failure distributions to determine a Weibull distribution for a subset of the plurality of compartments defined for the product (CL6, L30-56), as the Weibull model uses

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shape and scale parameters which have physical significance; the scale parameter indicates the time at which 63% of the population have failed; and the shape parameter indicates the rate at which the failures increase (CL6, L48-56). It would have been obvious to one of ordinary skill in the art at the time of Applicants' invention to modify the system of **KA, CR, EN, BU, WA** and **HU** with the system of **WO** that included the statistical analyzer using the estimated time-to-failure distributions to determine a Weibull distribution for a subset of the plurality of compartments defined for the product, as the Weibull model uses shape and scale parameters which have physical significance; the scale parameter indicates the time at which 63% of the population have failed; and the shape parameter indicates the rate at which the failures increase.

9.2 As per Claims 29, 49 and 69, these are rejected based on the same reasoning as Claim 9, supra. Claims 29, 49 and 69 are system, method and computer readable medium claims reciting the same limitations as Claim 9, as taught throughout by **KA, CR, EN, BU, WA, HU** and **WO**.

10. Claims 10, 30, 50 and 70 are rejected under 35 U.S.C. 103(a) as being unpatentable over **Kaminskiy et al. (KA)** ("A Monte Carlo approach to Warranty Repair predictions", SAE International, 1997) in view of **Cribbes (CR)** ("Changes in Engine maintenance management", Aerospace Engineering, December 1997) and **Endrenyi et al. (EN)** (IEEE, 1997) and further in view of **Butler (BU)** ("An expert system based Framework for an incipient failure detection and Preventive maintenance system, IEEE 1996), **Wang (WA)** (U.S. Patent 6,230,095), **Husseiny (HU)** (U.S. Patent 5,210,704) and **Subramanyam (SU)** (U.S. Patent 5,701,471).

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10.1 As per Claim 10, **KA, CR, EN, BU, WA** and **HU** teach the system of Claim 1. **KA, CR, EN, BU, WA** and **HU** do not expressly teach that the statistical analyzer comprises a service analysis script that executes a plurality of statistical procedures. **SU** teaches that the statistical analyzer comprises a service analysis script that executes a plurality of statistical procedures (Abstract; Fig. 1, BLK 116 and 120; Fig. 2; CL2, L25-43), as the scripts specify the operations to be performed and the performance statistics to be collected (CL2, L25-29). It would have been obvious to one of ordinary skill in the art at the time of Applicants' invention to modify the system of **KA, CR, EN, BU, WA** and **HU** with the system of **SU** that included the statistical analyzer comprising a service analysis script that executed a plurality of statistical procedures, as the scripts would specify the operations to be performed and the performance statistics to be collected.

10.2 As per Claims 30, 50 and 70, these are rejected based on the same reasoning as Claim 10, supra. Claims 30, 50 and 70 are system, method and computer readable medium claims reciting the same limitations as Claim 10, as taught throughout by **KA, CR, EN, BU, WA, HU** and **SU**.

11. Claims 11, 31, 51 and 71 are rejected under 35 U.S.C. 103(a) as being unpatentable over **Kaminskiy et al. (KA)** ("A Monte Carlo approach to Warranty Repair predictions", SAE International, 1997) in view of **Cribbes (CR)** ("Changes in Engine maintenance management", Aerospace Engineering, December 1997) and **Endrenyi et al. (EN)** (IEEE, 1997) and further in view of **Butler (BU)** ("An expert system based Framework for an incipient failure detection and Preventive maintenance system, IEEE 1996), **Wang (WA)** (U.S. Patent 6,230,095), **Husseiny**

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(HU) (U.S. Patent 5,210,704), Subramanyam (SU) (U.S. Patent 5,701,471) and Djaja et al.

(DJ) (U.S. Patent 6,405,160).

11.1 As per Claim 11, KA, CR, EN, BU, WA, HU and SU teach the system of Claim 10.

KA, CR, EN, BU, WA, HU and SU do not expressly teach that the plurality of statistical procedures comprise a multivariate regression and/or a correlation analysis. DJ teaches that the plurality of statistical procedures comprise a multivariate regression and/or a correlation analysis (CL5, L52-59), as in the multivariate regression analysis, the changes in independent variables that correlate highly with dependent variables are allowed to remain in the modeling equation (CL2, L25-29). It would have been obvious to one of ordinary skill in the art at the time of Applicants' invention to modify the system of KA, CR, EN, BU, WA, HU and SU with the system of DJ that included the plurality of statistical procedures comprising a multivariate regression and/or a correlation analysis, as in the multivariate regression analysis, the changes in independent variables that correlate highly with dependent variables would be allowed to remain in the modeling equation.

11.2 As per Claims 31, 51 and 71, these are rejected based on the same reasoning as Claim 11, supra. Claims 31, 51 and 71 are system, method and computer readable medium claims reciting the same limitations as Claim 11, as taught throughout by KA, CR, EN, BU, WA, HU, SU and DJ.

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12. Claims 12, 32, 52 and 72 are rejected under 35 U.S.C. 103(a) as being unpatentable over **Kaminskiy et al. (KA)** ("A Monte Carlo approach to Warranty Repair predictions", SAE International, 1997) in view of **Cribbes (CR)** ("Changes in Engine maintenance management", Aerospace Engineering, December 1997) and **Endrenyi et al. (EN)** (IEEE, 1997) and further in view of **Butler (BU)** ("An expert system based Framework for an incipient failure detection and Preventive maintenance system, IEEE 1996), **Wang (WA)** (U.S. Patent 6,230,095), **Husseiny (HU)** (U.S. Patent 5,210,704), **Subramanyam (SU)** (U.S. Patent 5,701,471) and **Cave et al. (CA)** (U.S. Patent 5,740,233).

12.1 As per Claim 12, **KA, CR, EN, BU, WA, HU** and **SU** teach the system of Claim 10. **KA, CR, EN, BU, WA, HU** and **SU** do not expressly teach that the service analysis script generates a plurality of statistical diagnostic information. **CA** teaches that the service analysis script generates a plurality of statistical diagnostic information (CL3, L27-30; CL3, L55-64), as changes in the statistical diagnostic information could be used to identify faults and alert the personnel, when they fall outside set thresholds (CL4, L9-15). It would have been obvious to one of ordinary skill in the art at the time of Applicants' invention to modify the system of **KA, CR, EN, BU, WA, HU** and **SU** with the system of **CA** that included the service analysis script generating a plurality of statistical diagnostic information, as changes in the statistical diagnostic information could be used to identify faults and alert the personnel, when they fell outside set thresholds.

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12.2 As per Claims 32, 52 and 72, these are rejected based on the same reasoning as Claim 12, supra. Claims 32, 52 and 72 are system, method and computer readable medium claims reciting the same limitations as Claim 12, as taught throughout by **KA, CR, EN, BU, WA, HU, SU and CA**.

13. Claims 13, 33, 53 and 73 are rejected under 35 U.S.C. 103(a) as being unpatentable over **Kaminskiy et al. (KA)** ("A Monte Carlo approach to Warranty Repair predictions", SAE International, 1997) in view of **Cribbes (CR)** ("Changes in Engine maintenance management", Aerospace Engineering, December 1997) and **Endrenyi et al. (EN)** (IEEE, 1997) and further in view of **Butler (BU)** ("An expert system based Framework for an incipient failure detection and Preventive maintenance system, IEEE 1996), **Wang (WA)** (U.S. Patent 6,230,095), **Husseiny (HU)** (U.S. Patent 5,210,704), **Subramanyam (SU)** (U.S. Patent 5,701,471), **Cave et al. (CA)** (U.S. Patent 5,740,233), **Stoughton et al. (ST)** (U.S. Patent 6,132,969) and **Baleanu (BA)** (U.S. Patent 5,748,508).

12.1 As per Claim 13, **KA, CR, EN, BU, WA, HU, SU and CA** teach the system of Claim 12. **KA, CR, EN, BU, WA, HU, SU and CA** do not expressly teach that the plurality of statistical diagnostic information comprises goodness-of-fit metrics and collinearity diagnostics. **ST** teaches that the plurality of statistical diagnostic information comprises goodness-of-fit metrics (Abstract; CL3, L26-52; CL3, L55-64), as goodness-of-fit measures how well a given model represents the underlying data (CL3, L26-28). It would have been obvious to one of ordinary skill in the art at the time of Applicants' invention to modify the system of **KA, CR, EN, BU,**

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WA, HU, SU and CA with the system of **ST** that included the plurality of statistical diagnostic information comprising goodness-of-fit metrics, as goodness-of-fit would measure how well a given model represents the underlying data.

KA, CR, EN, BU, WA, HU, SU, CA and ST do not expressly teach that the plurality of statistical diagnostic information comprises collinearity diagnostics. **BA** teaches that the plurality of statistical diagnostic information comprises collinearity diagnostics (Abstract), as collinearity measures is used to identify collinearity free regression models to best model and control a process (Abstract). It would have been obvious to one of ordinary skill in the art at the time of Applicants' invention to modify the system of **KA, CR, EN, BU, WA, HU, SU, CA and ST** with the system of **BA** that included the plurality of statistical diagnostic information comprising collinearity diagnostics, as collinearity measures would be used to identify collinearity free regression models to best model and control a process.

13.2 As per Claims 33, 53 and 73, these are rejected based on the same reasoning as Claim 13, supra. Claims 33, 53 and 73 are system, method and computer readable medium claims reciting the same limitations as Claim 13, as taught throughout by **KA, CR, EN, BU, WA, HU, SU, CA, ST and BA**.

14. Claims 14, 34, 54 and 74 are rejected under 35 U.S.C. 103(a) as being unpatentable over **Kaminskiy et al. (KA)** ("A Monte Carlo approach to Warranty Repair predictions", SAE International, 1997) in view of **Cribbes (CR)** ("Changes in Engine maintenance management", Aerospace Engineering, December 1997), and **Endrenyi et al. (EN)** (IEEE, 1997) and further in

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view of **Butler (BU)** ("An expert system based Framework for an incipient failure detection and Preventive maintenance system, IEEE 1996), **Wang (WA)** (U.S. Patent 6,230,095), **Husseiny (HU)** (U.S. Patent 5,210,704), **Subramanyam (SU)** (U.S. Patent 5,701,471) and **Meester et al. (ME)** (U.S. Patent 5,686,359).

14.1 As per Claim 14, **KA, CR, EN, BU, WA, HU** and **SU** teach the system of Claim 10. **KA, CR, EN, BU, WA, HU** and **SU** do not expressly teach that the service analysis script generates a plurality of residual plots. **ME** teaches that the service analysis script generates a plurality of residual plots (CL4, L52-56), as the residual plots indicate the lack of inadequacy of the models (CL3, L26-28). It would have been obvious to one of ordinary skill in the art at the time of Applicants' invention to modify the system of **KA, CR, EN, BU, WA, HU** and **SU** with the system of **ME** that included the service analysis script generating a plurality of residual plots, as the residual plots would indicate the lack of inadequacy of the models.

14.2 As per Claims 34, 54 and 74, these are rejected based on the same reasoning as Claim 14, supra. Claims 34, 54 and 74 are system, method and computer readable medium claims reciting the same limitations as Claim 14, as taught throughout by **KA, CR, EN, BU, WA, HU, SU** and **ME**.

15. Claims 15, 16, 35, 36, 55, 56, 75 and 76 are rejected under 35 U.S.C. 103(a) as being unpatentable over **Kaminskiy et al. (KA)** ("A Monte Carlo approach to Warranty Repair predictions", SAE International, 1997) in view of **Cribbes (CR)** ("Changes in Engine

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maintenance management", Aerospace Engineering, December 1997) and **Endrenyi et al. (EN)** (IEEE, 1997) and further in view of **Butler (BU)** ("An expert system based Framework for an incipient failure detection and Preventive maintenance system, IEEE 1996), **Wang (WA)** (U.S. Patent 6,230,095), **Husseiny (HU)** (U.S. Patent 5,210,704) and **Kozam et al. (KO)** (U.S. Patent application 2002/0035570).

15.1 As per Claim 15, **KA, CR, EN, BU, WA** and **HU** teach the system of Claim 1. **KA, CR, EN, BU, WA** and **HU** do not expressly teach that the statistical analyzer comprises a validation script. **KO** teaches that the statistical analyzer comprises a validation script (Para 0008, Para 0029), as the validation script provides information necessary to check the data received as service and performance information against the data already in the database (Para 0033). It would have been obvious to one of ordinary skill in the art at the time of Applicants' invention to modify the system of **KA, CR, EN, BU, WA** and **HU** with the system of **KO** that included the statistical analyzer comprising a validation script, as the validation script would provide information necessary to check the data received as service and performance information against the data already in the database.

Per Claim 16: **KA, CR, EN, BU, WA** and **HU** do not expressly teach that the validation script is applied to a plurality of case studies set up for the product. **KO** teaches that the validation script is applied to a plurality of case studies set up for the product (Para 0008, Para 0029), as the validation script provides information necessary to check the data received as service and performance information against the data already in the database (Para 0033). It

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would have been obvious to one of ordinary skill in the art at the time of Applicants' invention to modify the system of **KA**, **CR**, **EN**, **BU**, **WA** and **HU** with the system of **KO** that included the validation script being applied to a plurality of case studies set up for the product, as the validation script would provide information necessary to check the data received as service and performance information against the data already in the database.

15.2 As per Claims 35, 36, 55, 56, 75 and 76, these are rejected based on the same reasoning as Claims 15 and 16, supra. Claims 35, 36, 55, 56, 75 and 76 are system, method and computer readable medium claims reciting the same limitations as Claims 15 and 16, as taught throughout by **KA**, **CR**, **EN**, **BU**, **WA**, **HU** and **KO**.

16. Claims 20, 40, 60 and 80 are rejected under 35 U.S.C. 103(a) as being unpatentable over **Kaminskiy et al. (KA)** ("A Monte Carlo approach to Warranty Repair predictions", SAE International, 1997) in view of **Cribbes (CR)** ("Changes in Engine maintenance management", Aerospace Engineering, December 1997) and **Endrenyi et al. (EN)** (IEEE, 1997) and further in view of **Butler (BU)** ("An expert system based Framework for an incipient failure detection and Preventive maintenance system, IEEE 1996), **Wang (WA)** (U.S. Patent 6,230,095), **Husseiny (HU)** (U.S. Patent 5,210,704) and **Moosa et al. (MO)** (U.S. Patent 5,822,218).

16.1 As per Claim 20, **KA**, **CR**, **EN**, **BU**, **WA** and **HU** teach the system of Claim 1. **KA**, **CR**, **EN**, **BU**, **WA** and **HU** do not expressly teach that the simulator uses the performance life distributions to determine a Weibull distribution for a subset of the plurality of compartments

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defined for the product. **MO** teaches that the simulator uses the performance life distributions to determine a Weibull distribution for a subset of the plurality of compartments defined for the product (CL4, L56-67), as the Weibull distribution is sensitive to the distribution shape parameter at the extremities of the distribution and extrapolations can be made based on the properties of the distribution (CL4, L56-67; CL17, L36-41). It would have been obvious to one of ordinary skill in the art at the time of Applicants' invention to modify the system of **KA**, **CR**, **EN**, **BU**, **WA** and **HU** with the system of **MO** that included the simulator using the performance life distributions to determine a Weibull distribution for a subset of the plurality of compartments defined for the product, as the Weibull distribution is sensitive to the distribution shape parameter at the extremities of the distribution and extrapolations could be made based on the properties of the distribution.

16.2 As per Claims 40, 60 and 80, these are rejected based on the same reasoning as Claim 20, supra. Claims 40, 60 and 80 are system, method and computer readable medium claims reciting the same limitations as Claim 20, as taught throughout by **KA**, **CR**, **EN**, **BU**, **WA**, **HU** and **MO**.

Arguments

17.1 As per the applicants' argument that "**KA** in view of **CR** and **EN** does not disclose or suggest performing a simulation based on time-to-failure distributions and performance life distributions; the simulations performed by **KA**, **CR** and **EN** is based on time-to-failure distributions", the examiner has used a new reference (**HU**). **KA** and **HU** teach simulation based

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on time-to-failure distributions and performance life distributions. **KA** teaches a simulator for simulating a distribution of future service events of the product according to the time-to-failure distributions (Pg 1, Abstract; Pg 1, CL1, Para 3; Pg 2, CL2, Para 3 & 4; Pg 3, CL2, Para 2; Fig. 5). **HU** teaches a simulator for simulating a distribution of future service events of the product according to the performance life distributions (CL2, L7-32; CL5, L53-57; CL13, L8-46).

17.2 As per the applicants' argument that "**KA, CR, EN, BU and WA** do not disclose or suggest estimating the deterioration rate curves for a subset of compartments in a product and transforming the deterioration rate curves to a performance life distribution", the examiner has used a new reference (**HU**). **WA** teaches that the statistical analysis script generates an estimated deterioration rate curve for the subset of compartments of the product (Abstract; CL2, L22-37). **HU** teaches that the performance deterioration rate analyzer further comprises a transformer that transforms each estimated deterioration rate curve for a compartment to a performance life distribution (CL1, L47-50; CL2, L7-32; CL4, L61-63).

Conclusion

ACTION IS FINAL – NECESSITATED BY AMENDMENT

18. Applicant's amendments necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

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A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.

19. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Dr. Kandasamy Thangavelu whose telephone number is 703-305-0043. The examiner can normally be reached on Monday through Friday from 8:00 AM to 5:30 PM.

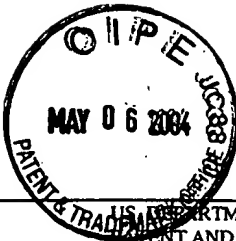
If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Kevin Teska, can be reached on (703) 305-9704. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is 703-305-9600.

K. Thangavelu
Art Unit 2123
February 26, 2004



KEVIN J. TESKA
SUPERVISOR
PATENT EXAMINER



Sheet 1 of 1

FORM PTO-1449
(REV. 7-80)U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.
RD-27,376-4SERIAL NO.
09/578,095INFORMATION DISCLOSURE STATEMENT BY APPLICANT
LIST OF ITEMSApplicant
JAMES K. ARAGONES, ET ALFiling Date
5/25/00Group
2123

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
KJW	AA 5,608,845	3/4/97	OHTSUKA, ET AL	704	189	JAN 14 2004
KJW	AB 6,381,556	4/30/02	KAZEMI, ET AL	702	189	
KJW	AC 6,532,427	3/11/03	JOSHI, ET AL	702	84	
	AD					
	AE					

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FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
	B1					
	B2					
	B3					

OTHER INFORMATION (Including Author, Title, Date, Pertinent Pages, etc.)

KJW	C1	YONG HWAN KIM, "A FORECASTING METHODOLOGY FOR MAINTENANCE COST OF LONG-LIFE EQUIPMENT", A DISSERTATION, TUSCALOOSA, AL, 1989, PG. 1 - 221.
KJW	C2	STEVE VESTAL, "ON THE ACCURACY OF PREDICTING RATE MONOTONIC SCHEDULING PERFORMANCE", 1990 ACM 0-897-409-0/90/1200, PP. 244-253.
KJW	C3	ROBB NEWMAN, "PERFORMANCE ANALYSIS CASE STUDY: APPLICATION OF EXPERIMENTAL DESIGN & STATISTICAL DATA ANALYSIS TECHNIQUES", 1991 ACM 089791-392-2/91/005/0214, PP. 214-215.

EXAMINER

DATE CONSIDERED

2/24/04

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant

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FORM PTO-1449
(REV. 7-80)

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PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.
RD-27,376-4SERIAL NO.
09/578,095INFORMATION DISCLOSURE STATEMENT BY APPLICANT
LIST OF ITEMSApplicant
JAMES K. ARAGONES, ET ALFiling Date
5/25/00Group
2123

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
K/SN	AA 5,455,777	10/3/95	FUJIYAMA, ET AL	702	34	
K/SN	AB 6,067,486	5/23/00	ARAGONES, ET AL	701	29	
	AC					
	AD					
	AE					

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		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
K/SN	B1	WO 98/24042	6/4/98	PCT			
	B2	EP0867841 A2	9/30/98	EPO			
K/SN	B3	JP2000097814	7/4/00	Patent Abstracts of Japan			

OTHER INFORMATION (Including Author, Title, Date, Pertinent Pages, etc.)

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C2		
C3		

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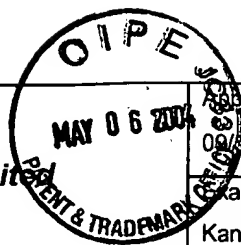
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Notice of References Cited



Application/Control No.

09/578,095

Examiner

Kandasamy Thangavelu

Applicant(s)/Patent Under
Reexamination
James Aragones

Art Unit

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Page 1 of 1

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*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
	A	US-5,210,704	05-1993	Hussein	702/34
	B	US-			
	C	US-			
	D	US-			
	E	US-			
	F	US-			
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	N					
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	P					
	Q					
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	S					
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NON-PATENT DOCUMENTS

*		Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages)
	U	
	V	
	W	
	X	

*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).)
Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.